

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): _____

Organization: _____

Filing as a: **DCM** **SEF** **DCO** **SDR** Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): _____ Filing Description:

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

Certification	§ 40.6(a)
Approval	§ 40.5(a)
Notification	§ 40.6(d)
Advance Notice of SIDCO Rule Change	§ 40.10(a)
SIDCO Emergency Rule Change	§ 40.10(h)

Rule Numbers: _____

New Product

Please note only ONE product per Submission.

Certification	§ 40.2(a)
Certification Security Futures	§ 41.23(a)
Certification Swap Class	§ 40.2(d)
Approval	§ 40.3(a)
Approval Security Futures	§ 41.23(b)
Novel Derivative Product Notification	§ 40.12(a)
Swap Submission	§ 39.5

Official Product Name: _____

Product Terms and Conditions (product related Rules and Rule Amendments)

Certification	§ 40.6(a)
Certification Made Available to Trade Determination	§ 40.6(a)
Certification Security Futures	§ 41.24(a)
Delisting (No Open Interest)	§ 40.6(a)
Approval	§ 40.5(a)
Approval Made Available to Trade Determination	§ 40.5(a)
Approval Security Futures	§ 41.24(b)
Approval Amendments to enumerated agricultural products	§ 40.4(a), § 40.5(a)
“Non-Material Agricultural Rule Change”	§ 40.4(b)(5)
Notification	§ 40.6(d)

Official Name(s) of Product(s) Affected: _____

Rule Numbers: _____

Interest-Rate Swaps: Dues, Fees & Other Charges

RTX Fintech & Research LLC

v1.4

(effective December 11, 2024)



RTX FINTECH & RESEARCH LLC

Swap Execution Facility

By Upload to CFTC Portal
Christopher J. Kirkpatrick
Secretary of the Commission
Commodity Futures Trading Commission

November 26, 2024

Self-Certification of Rule Amendment Under § 40.6(a): Fee Schedule

Dear Secretary Kirkpatrick:

RTX Fintech & Research LLC (RTX SEF) intends to implement an amended fee schedule under 17 CFR § 40.6(a) on December 11, 2024. This fee schedule replaces the one that RTX SEF submitted to the Commission on July 22, 2024 (version 1.3).

Included in this submission is an unredacted version of our submission, redline version of the unredacted version, and a redacted version that will be posted to our website.

Below is the concise explanation and analysis that the Commission requires under § 40.6(a)(7)(v). This fee schedule amends the dues, fees, and other charges that RTX SEF imposes for the swap-execution-facility services it provides. Its general purpose is to differentiate RTX SEF as a swap execution facility to better attract liquidity to its electronic and voice markets; in operation, RTX SEF will use the amended fee schedule to charge Participants for its services, which should attract liquidity to its markets.

The amended fee schedule complies with both the Commodity Exchange Act (Act) and Commission's regulations. Specifically, it complies with Core Principle 2 (Compliance with Rules) and § 37.202 because it adheres to open-access principles and promotes a fair, transparent platform.

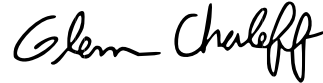
No one has expressed any substantive opposing views to the rule amendment that RTX SEF has not incorporated into the rule.

Finally, on behalf of RTX SEF, I certify the following:

1. RTX SEF has posted on its website a notice of pending certification with the Commission and a copy of this submission (redacted).
2. The amended rule complies with the Act and the Commission's regulations.

If you have any questions about this submission, please contact me.

Sincerely yours,

A handwritten signature in black ink that reads "Glenn Chaleff". The signature is fluid and cursive, with the first name "Glenn" and last name "Chaleff" clearly distinguishable.

Glenn Chaleff

Chief Compliance Officer

glenn@rtxfintech.com | (917) 694-4856

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Appendix A. Swap Execution Fee Schedule

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