

## SUBMISSION COVER SHEET

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): \_\_\_\_\_

Organization: RTX Fintech & Research LLC

Filing as a:  DCM  SEF  DCO  SDR Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 06/09/26 Filing Description: \_\_\_\_\_

**SPECIFY FILING TYPE** Please note only ONE choice allowed per Submission.

### Organization Rules and Rule Amendments

- |  |            |
|--|------------|
| <input checked="" type="checkbox"/> Certification            | § 40.6(a)  |
| <input type="checkbox"/> Approval                            | § 40.5(a)  |
| <input type="checkbox"/> Notification                        | § 40.6(d)  |
| <input type="checkbox"/> Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> SIDCO Emergency Rule Change         | § 40.10(h) |

Rule Numbers: website/Rule 503

**New Product** Please note only ONE product per Submission.

- |  |            |
|--|------------|
| <input type="checkbox"/> Certification                         | § 40.2(a)  |
| <input type="checkbox"/> Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> Approval                              | § 40.3(a)  |
| <input type="checkbox"/> Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> Swap Submission                       | § 39.5     |

Official Product Name: \_\_\_\_\_

### Product Terms and Conditions (product related Rules and Rule Amendments)

- |  |                      |
|--|----------------------|
| <input type="checkbox"/> Certification   | § 40.6(a)            |
| <input type="checkbox"/> Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/> Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/> Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/> Approval  | § 40.5(a)            |
| <input type="checkbox"/> Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/> Approval Security Futures                               | § 41.24(b)           |
| <input type="checkbox"/> Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input type="checkbox"/> Notification  | § 40.6(d)            |

Official Name(s) of Product(s) Affected: \_\_\_\_\_

Rule Numbers: \_\_\_\_\_



# RTX FINTECH & RESEARCH LLC

Swap Execution Facility

## By Upload to CFTC Portal

Christopher J. Kirkpatrick  
Secretary of the Commission  
Commodity Futures Trading Commission

June 9, 2026

## Self-Certification of Rule Amendment Under § 40.6(a): Change to Trading Hours

Dear Secretary Kirkpatrick:

RTX Fintech & Research LLC (“RTX SEF”) hereby submits this self-certification under Commission Regulation 40.6(a) to amend its trading hours. RTX SEF intends to implement the amendment on June 24, 2026.

Rule 503 of RTX SEF’s Rulebook provides that the SEF’s trading hours are posted on its website. In addition, Section 1.5 of RTX SEF’s Product Listing, which is Attachment A to the RTX SEF Rulebook, defines “Trading Hours” by reference to the hours posted on RTX SEF’s website.

RTX SEF’s website currently states: “We trade during the following hours: 7:00-17:00 Hrs (New York Time).”<sup>1</sup> RTX SEF will amend that language to read:

“Our regular trading hours are Monday through Thursday, 3:30 a.m. to 6:00 p.m. New York time, and Friday, 3:30 a.m. to 5:00 p.m. New York time. We follow SIFMA’s U.S. Holiday Recommendations and are closed during those times.”

The purpose of the amendment is to extend RTX SEF’s trading day so that participants may trade USD interest rate swaps during a greater portion of London market hours. The amendment changes only RTX SEF’s posted weekday trading hours. It does not add weekend trading, holiday trading, or continuous 24-hours-a-day, 7-days-a-week trading.

In operation, RTX SEF will open trading at 3:30 a.m. New York time and close trading at 5:00/6:00 p.m. New York time, except on days when RTX SEF is closed in accordance with SIFMA’s U.S. Holiday Recommendations. RTX SEF will staff and support the SEF during the amended trading hours. RTX SEF’s existing rulebook, trading protocols, compliance procedures, surveillance program, system controls, escalation procedures, and disciplinary framework will continue to apply during the amended trading hours.

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<sup>1</sup> <https://rtxfintech.com/about-us/>

The effect of the amendment is to give participants additional time to trade USD interest rate swaps on RTX SEF, particularly during London market hours. The amendment does not change any product terms, execution methods, participant eligibility requirements, trade-processing requirements, clearing requirements, reporting requirements, or market-participant obligations under the RTX SEF Rulebook.

I certify, on behalf of RTX SEF, that the amendment complies with the Commodity Exchange Act and the Commission's regulations thereunder, including the SEF Core Principles. The amendment is consistent with Core Principle 2 because RTX SEF will continue to enforce its rules and operate in accordance with its Rulebook, including Rule 503 and the Product Listing's definition of Trading Hours. The amendment is consistent with Core Principle 4 because RTX SEF will continue to monitor trading, maintain its audit trail, and conduct its compliance and surveillance program during the amended trading hours. The amendment is also consistent with RTX SEF's system-safeguards obligations because RTX SEF's existing systems and controls will continue to apply during the amended trading hours.

No substantive opposing views were expressed to RTX SEF by its governing board or committee members, members, or market participants that were not incorporated into this amendment.

I further certify, on behalf of RTX SEF, that it has posted on its website both a notice of pending certification with the Commission and a copy of this submission, concurrent with this filing.

If you have any questions about this submission or require additional information, please let me know.

Sincerely yours,

A handwritten signature in black ink, appearing to read 'D Nelson'.

David Nelson  
Chief Compliance Officer  
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