

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): RTXF

Organization: RTX Fintech & Research LLC

Filing as a: DCM SEF DCO SDR Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): _____ Filing Description: _____

SPECIFY FILING TYPE Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- Certification § 40.6(a)
- Approval § 40.5(a)
- Notification § 40.6(d)
- Advance Notice of SIDCO Rule Change § 40.10(a)
- SIDCO Emergency Rule Change § 40.10(h)

Rule Numbers: Fee Schedule

New Product Please note only ONE product per Submission.

- Certification § 40.2(a)
- Certification Security Futures § 41.23(a)
- Certification Swap Class § 40.2(d)
- Approval § 40.3(a)
- Approval Security Futures § 41.23(b)
- Novel Derivative Product Notification § 40.12(a)
- Swap Submission § 39.5

Official Product Name: _____

Product Terms and Conditions (product related Rules and Rule Amendments)

- Certification § 40.6(a)
- Certification Made Available to Trade Determination § 40.6(a)
- Certification Security Futures § 41.24(a)
- Delisting (No Open Interest) § 40.6(a)
- Approval § 40.5(a)
- Approval Made Available to Trade Determination § 40.5(a)
- Approval Security Futures § 41.24(b)
- Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)
- "Non-Material Agricultural Rule Change" § 40.4(b)(5)
- Notification § 40.6(d)

Official Name(s) of Product(s) Affected: _____

Rule Numbers: _____



RTX FINTECH & RESEARCH LLC

Swap Execution Facility

By Upload to CFTC Portal

Christopher J. Kirkpatrick
Secretary of the Commission
Commodity Futures Trading Commission

June 11, 2026

Self-Certification of Rule Amendment Under § 40.6(a): Fee Schedule

Dear Secretary Kirkpatrick:

RTX Fintech & Research LLC (“RTX SEF”) intends to implement an amended fee schedule under 17 CFR § 40.6(a) on June 26, 2026. This fee schedule replaces the one that RTX SEF submitted to the Commission on November 26, 2024 (version 1.4).

Attachment A contains the amended fee schedule; and *Attachment B*, a redline of the amended fee schedule against the prior version.

Below is the concise explanation and analysis that the Commission requires under § 40.6(a)(7)(v). This fee schedule amends the dues, fees, and other charges that RTX SEF imposes for the swap-execution-facility services it provides. Its general purpose is to differentiate RTX SEF as a swap execution facility to better attract liquidity to its electronic and voice markets; in operation, RTX SEF will use the amended fee schedule to charge Participants for its services, which should attract liquidity to its markets.

The amended fee schedule complies with both the Commodity Exchange Act (Act) and Commission’s regulations. Specifically, it complies with Core Principle 2 (Compliance with Rules) and § 37.202 because it adheres to open-access principles and promotes a fair, transparent platform.

No one has expressed any substantive opposing views to the rule amendment that RTX SEF has not incorporated into the rule.

Finally, on behalf of RTX SEF, I certify the following:

1. RTX SEF has posted on its website a notice of pending certification with the Commission and a copy of this submission (redacted).
2. The amended rule complies with the Act and the Commission’s regulations.

If you have any questions about this submission, please contact me.

Sincerely yours,

A handwritten signature in black ink, appearing to read "D Nelson". The letters are stylized and connected.

David Nelson
Chief Compliance Officer
david@rtxfintech.com | (917) 694-4857

Attachment A

Amended Fee Schedule (v1.5)

CONTENTS INTENTIONALLY LEFT BLANK:

This attachment is subject to a request for confidential treatment.

Attachment B

Redline of Amended Fee Schedule (v1.5) Against Prior Version

CONTENTS INTENTIONALLY LEFT BLANK:

This attachment is subject to a request for confidential treatment.